



9-18-00

Attorney Docket No.: 99-078

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jay S. WALKER et al.) Customer No.: 22927
)
For: SYSTEMS AND METHODS WHEREIN)
A THIRD PARTY SUBSIDY AND A)
MERCHANT SUBSIDY FACILITATE)
A TRANSACTION)
)
Serial No.: Not yet assigned) Group Art Unit: Not yet assigned
)
Filing Date: March 31, 2000) Docket No.: 99-078

BOX: PATENT APPLICATIONS

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Mailing by Express Mail No. EL368707020US;
2. Response to Notice to File Missing Parts of Application;
3. Declaration and Power of Attorney;
4. Copy of Notice to File Missing Parts of Non-Provisional Application;
5. Acknowledgment Postcard.

The Assistant Commissioner is hereby authorized to charge the associated filing fee in the amount of \$1954.00 to Deposit Account No. 50-0271. Order No. 99-078. The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for the submission of this paper, or credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-078. A duplicate copy of this sheet is attached.

Respectfully submitted,

Kurt M. Maschoff
Attorney for Applicants
PTO Reg. No. 38,235
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905-1325
(203) 461-7020 / phone
(203) 595-8266 / fax

September 15, 2000
Date

Certificate of Express Mail

I hereby certify that this correspondence is being sent via Express Mail, Express Mail No. EL368707020US in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on September 15, 2000.

Sylvia Gonzalez

Typed Name of Person Making Deposit

Signature

09/15/00
Date

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
99-078

In Re Application Of: Jay S. WALKER et al.

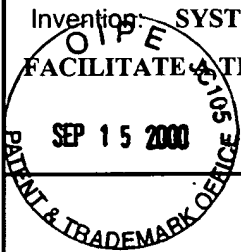
Serial No.
09/540,034

Filing Date
March 31, 2000

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Invention: **SYSTEMS AND METHODS WHEREIN A THIRD PARTY SUBSIDY AND A MERCHANT SUBSIDY
FACILITATE A TRANSACTION**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
June 15, 2000
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
99-078

In Re Application Of: Jay S. WALKER et al.

Serial No.
09/540,034

Filing Date
March 31, 2000

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

Invention: **SYSTEMS AND METHODS WHEREIN A THIRD PARTY SUBSIDY AND A MERCHANT SUBSIDY
FACILITATE A TRANSACTION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	<u>\$690.00</u>
<input type="checkbox"/> Design application filing fee	<u> </u>
<input checked="" type="checkbox"/> Total number of independent claims = <u>6</u>	<u>\$234.00</u>
<input checked="" type="checkbox"/> Total number of claims = <u>70</u>	<u>\$900.00</u>
<input type="checkbox"/> Multiple dependent claims	<u> </u>
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<u>\$130.00</u>
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the invention	<u> </u>
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	<u> </u>
<input type="checkbox"/> Fee for processing and retention of application	<u> </u>

Total completion of application fees \$1,954.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: August 15, 2000 until: September 15, 2000
Date *Date*

Total time extension fees \$110.00

Total fees due \$2,064.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
99-078

In Re Application Of: Jay S. WALKER et al.

Serial No.
09/540,034

Filing Date
March 31, 2000

Examiner
Not Yet Assigned

Group Art Unit
Not Yet Assigned

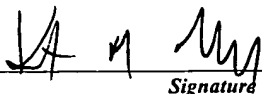
Invention: **SYSTEMS AND METHODS WHEREIN A THIRD PARTY SUBSIDY AND A MERCHANT SUBSIDY
FACILITATE A TRANSACTION**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$2,064 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-0271
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-0271
A duplicate copy of this sheet is enclosed.


Signature

Dated: Sept. 15, 2000

Kurt M. Maschoff
Reg. No. 38,235
Attorney for Applicant
Walker Digital Corporation
Five High Ridge Park
Stamford, NY 06905
(203) 461-7020 /phone
(203) 595-8266 /fax

I certify that this document and fee is being deposited on Sept. 15, 2000 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Sylvia Gonzalez

Typed or Printed Name of Person Mailing Correspondence

CC:

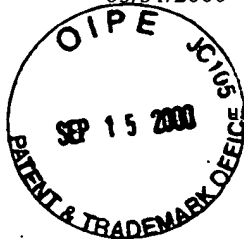
FORMALITIES LETTER



OC000000005178403

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/540,034	03/31/2000	Jay S. Walker	99-078

22927
WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905

Date Mailed: 06/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1134.
 - \$900 for 50 total claims over 20.
 - \$234 for 3 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1954.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/21/2000 HXDDR1 00000064 500271 09540034

01 FC:101	690.00 CH
02 FC:102	234.00 CH
03 FC:103	900.00 CH
04 FC:105	130.00 CH